

Securities Transfer Instructions

1

Please complete this form and forward it to your financial advisor or broker.

2

Please forward a copy of this form to the Howard University Office of Treasury Operations via email at investments@howard.edu, as donor information does not always transmit through the DTC wiring system.

If you have questions or need additional information regarding a securities transfer, please contact Tony Osaghae at 202.806.2322 or via email at tosaghae@howard.edu.

TO (DONOR'S FINANCIAL ADVISOR OR BROKER)

TELEPHONE EMAIL

Please use this form as authorization to transfer the securities listed below from my account to BNY Mellon as my gift to Howard University.

FROM (DONOR NAME)

ADDRESS

TELEPHONE EMAIL

NAME OF SECURITY NUMBER OF SHARES

GIFT PURPOSE

DATE OF GIFT PRICE OF SHARES AT TRANSFER

SIGNATURE

Transfer Instructions for Financial Advisors

For DTC Eligible Securities:

DTC# 0443
Pershing, LLC
For Credit to Account # N7M001871
Client Account Name: Howard University

For Fed Eligible Securities:

ABA# 021-000-018
Bank of NY / Pershing
For Credit to Account # N7M001871
Client Account Name: Howard University

For Physical Securities:

Physical Certificates and the required paperwork will be sent directly to Pershing LLC., our clearing broker dealer. Please contact Jorja Watts at 412-234-0439 or jorja.watts@bnymellon.com for additional instructions.

For Mutual Funds and Foreign Security Gifts:

Please contact Jorja Watts at BNY Capital Markets to check on the ability to accept the mutual fund and coordinate delivery at 412-234-0439 or jorja.watts@bnymellon.com.

BNYM Gift Processing Group Email:

Bnymcmgiftprocessing@bnymellon.com